

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No.: 10/766,965

Filing Date: January 29, 2004

Art Unit: 3696

Confirmation No.: 4387

Examiner: Gerald C. Vizvary

Title: *SYSTEM AND METHOD FOR AVOIDING TRANSACTION
COSTS ASSOCIATED WITH TRADING ORDERS*

MAIL STOP AMENDMENT

Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application.

The present application was filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of the U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

Pursuant to 37 C.F.R. §§ 1.97(g) and (h), Applicants make no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

REMARKS

The first Office Action has been received by Applicants in the above identified patent application. Pursuant to 37 C.F.R. § 1.97(c), the Commissioner is hereby authorized to charge the \$180.00 IDS fee and to charge any deficiency or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.

A handwritten signature in black ink, appearing to read 'Sam/Bh', is written over the printed name.

Samir A. Bhavsar
Reg. No. 41,617

Date: June 12, 2008

Correspondence Address:

Customer No. **05073**